



USTA SAFE PLAY DISCIPLINARY LIST

OVERVIEW

I. WHAT IS THE PURPOSE OF THE SAFE PLAY DISCIPLINARY LIST?

The U.S. Center for SafeSport (the Center) has the exclusive authority to investigate and resolve cases of misconduct occurring within the Olympic & Paralympic Movement, which includes all National Governing Bodies such as the United States Tennis Association Incorporated (USTA). Pursuant to the USTA Safe Play Policy, the USTA is obligated to reciprocate the Center's determinations.

The Safe Play Disciplinary List is to inform the public of individuals that are found to have engaged in – or are alleged to have engaged in – forms of misconduct that present a potential risk to other members of the tennis community.

The Safe Play Disciplinary List contains *Temporary Restrictions* and *Sanctions* imposed by the U.S. Center for SafeSport as well as individuals who have been reported for Sexual Misconduct as defined in the [U.S. CENTER FOR SAFESPORT CODE](#) but who fall outside of the jurisdiction of the USTA and/or the U.S. Center for SafeSport and such report has been verified through publicly available records.

DEFINITIONS

I. TEMPORARY RESTRICTIONS

Temporary Restrictions are only implemented when necessary and are narrowly tailored to mitigate the specific, potential risks posed – either to a specific individual(s) or to the broader sport community. The imposition of Temporary Restrictions is not a finding that misconduct occurred, but rather a precautionary measure taken to mitigate potential risks.

Some of the common temporary measures on the Safe Play Disciplinary List include:

- 1) **No Unsupervised Coaching / Training:** This typically means that a Participant is only permitted to train and/or coach athletes while under the direct supervision of another physically-present adult(s).
- 2) **Contact / Communication Limitation(s):** This typically means that a Participant is prohibited from having any one-on-one or unsupervised contact or interaction with specific athletes (e.g., minor athletes) or other participants, including communication through telephonic or other electronic mediums; this often requires that, if the Participant needs to communicate with athletes outside of supervised in-person training/coaching, another adult must be included on those communications.
- 3) **Coaching / Training Restriction(s):** This typically means that a Participant is permitted to coach and/or train, but must adhere to certain limitations, such as a restriction from coaching/training

certain types of athletes (e.g., minor athletes), or that coaching/training be conducted in certain areas, at certain times, or under specific circumstances.

- 4) **No Contact Directive(s):** This is typically when a Participant is prohibited from communicating in any way or through any medium with another party(ies) while an investigation is ongoing, to avoid potential conflict, confrontation, or further escalation.
- 5) **Temporary Suspension:** This means that a Participant is temporarily prohibited from participating, in any capacity, in any program, activity, event, or competition sponsored by, organized by, or under the auspices of the USOPC, the USTA; USTA Sectional Associations and subdivisions of USTA Sectional Associations; or at a facility under their jurisdiction.

II. Sanctions

If, after a comprehensive investigation, a Participant is found to have engaged in misconduct that violates the U.S. Center for SafeSport Code, they may be subject to Sanction(s).

Some of the common sanctions on the Safe Play Disciplinary List include:

- 1) **Limited Participation:** This means that a Participant's eligibility to participate within Olympic & Paralympic sport is not subject to a full suspension, but has been limited in some specific way(s) in order to mitigate particular areas of potential risk.
- 2) **Probation:** A specified period of time during which, should any further violations of the Code occur during the probationary period, it will result in additional disciplinary measures, likely including a period of Suspension or Ineligibility. This Sanction can also include loss of privileges or other conditions, restrictions, or requirements.
- 3) **Suspension:** A specific period of time during which a Participant is prohibited from participating, in any capacity, in any program, activity, event, or competition sponsored by, organized by, or under the auspices of the USOPC; the USTA; USTA Sectional Associations and subdivisions of USTA Sectional Associations; or at a facility under their jurisdiction. Suspensions are imposed in response to various forms of misconduct, with lengths ranging from a few weeks, to several months, to multiple years, depending on the severity of the misconduct.
- 4) **Ineligibility:** This means that a Participant is prohibited from participating, in any capacity, in any program, activity, event, or competition sponsored by, organized by, or under the auspices of the USOPC; the USTA; USTA Sectional Associations and subdivisions of USTA Sectional Associations; or at a facility under their jurisdiction, "until further notice." Ineligibility is a sanction typically imposed when a Respondent has pending criminal charges for serious forms of misconduct, in violation of the Criminal Charges and/or Disposition provision of the SafeSport Code. These matters are often re-evaluated by the Center once those pending charges reach some form of resolution within the criminal justice system.
- 5) **Permanent Ineligibility:** This means that a Participant is permanently prohibited from participating, in any capacity, in any program, activity, event, or competition sponsored by, organized by, or under the auspices of the USOPC, the USTA; USTA Sectional Associations and subdivisions of USTA Sectional Associations; or at a facility under their jurisdiction. This

sanction is imposed only when a Participant is found to have engaged in egregious forms of misconduct, and represents a permanent ban from participation in Olympic & Paralympic sport.