

United States Tennis Association Incorporated (USTA)
ATHLETE SELECTION PROCEDURES
2019 Pan Am Games; Lima, Peru
Tennis
June 28, 2018

1. SELECTION SYSTEM

Note: *Use objective based criteria or discretionary/subjective criteria that are supported by objective measures.*

1.1. Provide the minimum eligibility requirements for an athlete to be considered for selection to the Team:

1.1.1. Nationality/Passport requirements:

Athlete must be a national of the United States at the time of nomination.

Athlete must hold a valid U.S. passport that will not expire for six months after the conclusion of the 2019 Pan Am Games.

1.1.2. Minimum International Olympic Committee (IOC) standards for participation:

Any competitor in the Pan American Games must be a national of the country of the National Olympic Committee (NOC) which is entering such competitor. For additional information regarding an athlete who is a national of two or more countries, has changed his or her nationality or acquired a new nationality, refer to the Olympic Charter (Rule 41), the IPC Handbook (Section 2, Chapter 3.1), or the PASO Statutes (Article XXII, Sections 4 and 5).

1.1.3. Minimum International Federation (IF) standards for participation (if any):

- Competitors must be fourteen (14) years of age or older on the first official competition day.
- Athletes must have signed and submitted the Athlete Eligibility Condition Form.

1.1.4. Other requirements (if any):

- Players must be a current APT or WTA Tour player with an ATP singles rank in the top 500, a WTA Tour singles rank in the top 300 including utilization of protected rankings, if applicable, or have an Intercollegiate Tennis Association ("ITA") ranking as of June 11, 2019.
- Players must be in good standing with USTA.

- Players must be actively enrolled and in good standing with TADP's or USADA's Out of Competition anti-doping testing pool.
- Upon request by the USTA, players agree to submit and pass a physical evaluation conducted by a USTA designated physician. Failure to submit to and pass a physical evaluation may result in the determination that the player has a disabling injury.

1.2. Tryout Events:

Selections for the 2019 Pan American Games are based on ATP/WTA Tour and ITA rankings as of June 11, 2019.

1.2.1. Provide the event names, dates and locations of all trials, events and camps to be used as part of the selection process.

- ATP/WTA Tour, and ITA rankings will be determined from the ATP/WTA Tour, and ITA events throughout the competitive season as of June 11, 2019.

1.2.2. Provide event names, dates, locations and description of how athletes qualify for the trials, events and camps listed above in 1.2.1. (if any).

- N/A

1.3. Provide a comprehensive, step-by-step description of the method that explains how athletes will go through the selection process (include maximum Team size).

- As of June 11, 2019, top ranked (as explained in 1.1.4) US male and female Singles players on the ATP/WTA and ITA ranking lists will be queried in order of ranking as to their interest in participating in the 2019 Pan American Games.
- Once the ATP/WTA/ITA Singles rankings are published on or around June 11, 2019 invitations will be sent to the pool of athletes based on the criteria stated herein.
- The Men's and Women's Team will consist of the top 3 male and top 3 female ranked Singles players satisfying the eligibility requirements and who accept the invitation to participate. Priority for invitations will be given to ATP/WTA Singles players first, then ITA ranked Singles players.
- If there is a ranking tie, the prior week's ranking will be used to select the better ranked player. If there is still a tie, the next prior week's ranking will be used to select the better ranked player. The process of using the prior week's ranking will be used until such a tie is broken.
- The USTA will submit final team nomination to the USOC on or before June 26, 2019.

- The Men's and Women's doubles teams will be selected by the USTA from the 3 men and 3 women nominated players selected to play singles. The USTA's Pan Am Games Coach and/or Captain will determine the doubles partners based on the following, in no particular order:
 - Team Chemistry
 - Ranking of the athletes for the ATP/WTA/ITA rankings
 - Peak form at the time of competition
 - Timing/schedule of events at the 2019 Pan American Games
 - Event conditions (location, surface, health of athletes, etc.)

1.3.1 Reallocation of unused IF Quota places

For clarification, if any players/ teams are not entered by the respective NOC/National Associations by June 17, 2019, the places shall be reallocated to the next highest ranked player/team up until TBD when any unused quota places shall be replaced by players/teams already on site.

2. DISCRETIONARY SELECTION (if applicable)

- a. Provide rationale for utilizing discretionary selection (if any):

N/A

- b. List the discretionary criteria and explain how they will be used (if any):

- N/A

- c. Provide the name of the committee that will be responsible for making discretionary selections, along with a complete list of the members' titles currently serving on the committee:

N/A

- d. Specify the process that will be used to identify and handle any potential conflicts of interest involving a member of the committee.

Any member of the selection committee that has a possible conflict of interest must disclose it. If such conflict exists, the selection committee member must recuse him/herself from committee discussions and voting. Further, the committee member should not otherwise influence other members of the committee in the selection process. However, a committee member who recused him/herself, but who has relevant and necessary information with respect to athlete performance, for example a national team coach or high performance director, may, if requested by the

selection committee, provide such information to the committee so long as such information is provided in a fair and unbiased manner and the committee member who declared the conflict of interest does not vote toward the final decision.

3. REMOVAL OF ATHLETES

- a. Prior to entry by name to the Local Organizing Committee by the USOC, the NGB/HPMO has jurisdiction over potential nominees.

An athlete who is to be nominated to the Team by the USTA may be removed for any of the following reasons, as determined by the USTA:

- i. Voluntary withdrawal. Athlete must submit a written letter to the USTA CEO/Executive Director with a copy to the USTA Team Leader;
- ii. Injury or illness as certified by a USTA designated physician. If an athlete refuses verification of his/her illness or injury by a USTA physician, his/her injury will be assumed to be disabling and he/she may be removed.
- iii. Violation of the USTA's Rules of Player Conduct (Attachment A).
- iv. Violation of the World Anti-Doping Agency (WADA), the United States Anti-Doping Agency (USADA), the United States Olympic Committee (USOC), or the ITF/ATP/WTA doping regulations (the Tennis Anti-Doping Program "TADP").

An athlete who is removed from the Team pursuant to this provision has the right to a hearing per the USTA's Constitution and USTA Bylaws, Section 43 (<https://www.usta.com/en/home/about-usta/who-we-are/national/how-the-usta-works-for-you.html>) or USOC Bylaws, Section 9.

- b. Once athlete entries have been submitted to the Local Organizing Committee by the USOC, the USOC has jurisdiction over the Team, at which time, in addition to any applicable NGB/HPMO Code of Conduct, the USOC's Code of Conduct and Grievance Procedures apply. The USOC's Code of Conduct and Grievance Procedures can be found at:
<https://www.teamusa.org/Footer/Legal/Governance-Documents>
<https://www.teamusa.org/athlete-resources/athlete-ombudsman/dispute-resolution>
- c. An athlete may be removed as a nominee to the Team or from the Team for an adjudicated violation of IOC, PASO, IPC, WADA, IF, USADA and/or USOC anti-doping protocol, policies and procedures, as applicable.

4. REPLACEMENT OF ATHLETES

- a. Describe the selection and approval process for determining replacement athlete(s)

should a vacancy occur:

In the event a replacement is needed before/after the 2019 Pan American Team has been selected and nominated, the USTA Player Development and Professional Tennis Staff will select the next highest ranked ATP/WTA/ITA athletes (excluding those athletes who previously declined) from the appropriate gender as provided herein. Priority for invitations will be given to ATP/WTA Singles players first, then ITA ranked Singles players. In the event of a rankings tie, the same tie breaker language followed in Section 1.3 above will be followed.

- i. after submission of Entries by Name to the Local Organizing Committee, including any applicable group or committee:

Please refer to Section 4.a.

5. SUPPORTING DOCUMENTS

The USTA will retain the approved Selection Procedures and all supporting documents, including scouting or evaluation forms, etc., and data from the selection process for six months past the date of the Closing Ceremony of the Games.

6. REQUIRED DOCUMENTS

In addition to the USOC Code of Conduct, the following documents are required to be signed by an athlete as a condition of nomination to the Olympic Games and are included as attachments:

- USTA's Rules of Players Conduct (see Attachment A); and
- 2019 Pan Am Games Consent & Waiver Form (see Attachment B).

7. PUBLICITY/DISTRIBUTION OF PROCEDURES

The USOC approved Selection Procedures (complete and unaltered) will be posted/published by the USTA in the following locations:

- a. NGB/HPMO Web site: www.usta.com

These procedures will be posted as soon as possible, but not more than five business days following notice of approval by the USOC.

- b. Other: N/A

8. DATE OF NOMINATION

The Nomination of Athletes form, including replacements, will be announced to all athletes and submitted to the USOC on or before:

June 26, 2019.

9. MANDATORY TRAINING AND/OR COMPETITION

Specify the location, schedule and duration of mandatory training and/or competition:

N/A

10. ANTI-DOPING REQUIREMENTS

Athletes must adhere to all IOC, IPC, PASO, WADA, IF, USADA and USOC anti-doping protocols, policies and procedures, as applicable. This includes participation in Out-of-Competition Testing as required by the IOC, IPC, PASO, WADA, IF, USADA and USOC Rules, as applicable.

11. DEVELOPMENT OF SELECTION PROCEDURES

The following committee/group (include names and titles) was responsible for creating these Selection Procedures:

- Jeff Ryan, USTA Senior Director Team Events
- Staciellen Stevenson Mischel, USTA Associate General Counsel and Deputy Chief Legal Officer
- Robby Ginepri, USTA's representative to the USOC AAC

12. NGB/HPMO BYLAWS AND GRIEVANCE PROCEDURES

The USTA Bylaws and Grievance Procedures can be found at:

- USTA Bylaws, Section 43 – See website:
<https://www.usta.com/en/home/about-usta/who-we-are/national/how-the-usta-works-for-you.html>

13. INTERNATIONAL DISCLAIMER

These procedures are based on IOC, IPC, PASO, as applicable, and/or ITF rules and regulations as presently known and understood. Any change in the selection procedures caused by a change in IOC, IPC, PASO, as applicable, and/or ITF rules and regulations will be distributed to the affected athletes immediately. The selection criteria are based on the latest information available to the USTA. However, the selections are always subject to unforeseen, intervening circumstances, and realistically may not have accounted for every possible contingency.

If any force of nature, or force majeure, should cause the alteration or cancellation of any of

the selection events listed in this document, these selection procedures will be revised, pursuant to their resubmission to the USOC.

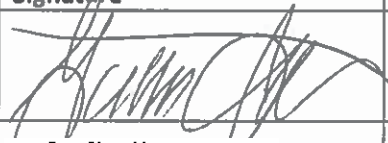


14. ATHLETE OMBUDSMAN

Athletes who have questions regarding their opportunity to compete that are not answered by the USTA may contact the USOC Athlete Ombudsman, Kacie Wallace, by:

- Telephone at (719) 866-5000
- Email at kacie.wallace@usoc.org
- <http://www.teamusa.org/Athlete-Resources/Athlete-Ombudsman>

15. NGB/HPMO SIGNATURES

I certify that I have read and understand the standards/criteria set by our IF and/or CF (PAG/PPAG only) and incorporated those standards/criteria into our Selection Procedures. I further certify that the information provided herein regarding Athlete Selection Procedures represents the method approved by the USTA.

Position	Print Name	Signature	Date
NGB/HPMO President or CEO/Executive Director	Gordon A. Smith		7/2/18
Nat. Team Coach, Head Coach, or Nat. Program Director	Martin Blackman	DocuSigned by:  9DD39130AA7F4E0...	7/10/2018
USOC Athletes' Advisory Council Representative*	Robby Ginepri	DocuSigned by:  155C07DA5B84A3...	7/10/2018

* If the USOC AAC Representative has delegated authority to the Alternate AAC Representative to sign the Selection Procedures, attach a letter from the AAC Representative indicating the reason he/she has delegated authority.

* Signature by the Athlete Representative constitutes that he/she has read and understands the Selection Procedures. If the Athlete Representative reads and does not agree with the Athlete Selection Procedures being submitted by the NGB/HPMO, he/she may submit those reasons in writing to his/her Sport Performance Team.

* If, for some reason, a sport does not have an elected USOC AAC Representative, the NGB/HPMO must designate an athlete from that sport to review and sign the Selection Procedures.